



Western University of Health Sciences  
**GRANTS AND CONTRACTS FACT SHEET**

The following information is designed to assist investigators and project directors when applying for grants and contracts. Most of the information will remain constant however certain rates will be subject to change. Please remember, when applying for awards, contact the Office of Sponsored Research and Contract Management for assistance.

- 1) Human Subjects Assurance of Compliance No.: FWA00003774
  - 2) Institutional Review Board number: IRB00003016
  - 3) Vertebrate Animals Welfare Assurance No.: A3989-01
  - 4) Entity Identification Number: 1953127273A1
  - 5) Congressional District: CA-038
  - 6) Organizational Component Code: \*
  - 7) Type of Institution Identification: Private, Non-profit
  - 8) Tax ID Number: 95-3127273
  - 9) Auditing Firm: Grant Thornton
  - 10) Dun & Bradstreet Number (DUNS): 093373694
  - 11) Date of Most Recent Audit: check with the Office x5646
  - 12) Date of Incorporation: 1977
- \*05 School of Osteopathy, 07 School of Pharmacy, 09 School of Nursing, 11 School of Veterinary Medicine, 15 School of Allied Health.

**Name of Official Signing for Applicant Organization (Grants):**

Steven Henriksen, Ph.D.  
Vice President for Research and  
Biotechnology

Susan Robles,  
Secretary x5619

Address: Western University of Health Sciences  
309 E. 2nd Street  
Pomona, CA 91766

Telephone: (909) 469-5299  
Fax Number: (909) 469-5577

**Required Signature for Contracts**

Ben Cohen, DO  
Provost/COO

Evadney Hamilton,  
Secretary x5578

Address: Western University of Health Sciences  
309 E. 2<sup>nd</sup> Street  
Pomona, CA 91766

Telephone: (909) 469-5562  
Fax: (909) 469-5694

Name of Administrator to be notified if award is made:

Matthew D. Katz, MHA  
Assistant Vice President for Sponsored  
Research and Contract Management

Address: Western University of Health Sciences  
309 E. 2nd Street  
Pomona, CA 91766

Telephone: (909) 469-5567  
Fax Number: (909) 469-5569

Questions regarding Post-Award & Grant Accounting

Nancy Riker, Manager

Address: Western University of Health Sciences  
309 E. 2<sup>nd</sup> Street  
Pomona, CA 91766

Telephone: (909) 469-5458  
Fax Number: (909) 469-5569

Indirect Cost Rates:

DHHS Agreement Date: July 23, 2009

Federal Rate: 49% MTDC\*

Training Grants: 8% TDC

\*MTDC: Modified Total Direct Costs: consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract. Excludes equipment, capital expenditures, patient care charges, tuition remission, rental costs of off-site facilities, scholarships and fellowships as well as the portion of subgrants and subcontracts in excess of \$25,000

For Federal Grants: Cognizant Agency (for Indirect Cost negotiations)  
Dept. of Health and Human Services  
DCA Western Field Office  
Wallace Chan  
415-437-7823

Fringe Benefit Rate: 28% (includes FICA 7.65%)

LIST OF CONTACTS AND PHONE NUMBERS:

Environmental Health & Safety Specialist	Brett Boston	x5528
IRB/Research Committee Secretary:	Beverly Carter	x5434
IACUC Secretary:	Susan Robles	x5619
Institutional Review Board Chair:	James Scott, PharmD.	x5348
IACUC Chair:	Don Walters, Ph.D.	x5592
Radiation and Safety Officer:	Stanley Wong, Ph.D.	x5232
Research Committee Chair:	Vishwanath Venketaraman, Ph.D.	X3736
Biohazard and Safety Chair:	Jeff Felton, Ph.D.	x5226

On awards where payments are sent directly to us, please have checks made payable to:

and mailed to: **Western University of Health Sciences**  
Matthew D. Katz, MHA  
Assistant Vice President for Sponsored Research  
and Contract Management  
309 E. 2nd Street  
Pomona, CA 91766

August 4, 2009