



POLICY

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| TITLE: | Protocol Activity Suspension & Reinstatement |
| Policy Number: | 2018-057 |
| Responsible Department: | Institutional Animal Care and Use Committee |
| Policy Contact: Designation: E-Mail: | Donald E. Walters, Ph.D. Chair, Institutional Animal Care and Use Committee dewalters@westernu.edu |
| Approval Date: | 2/14/18 |
| Reviewed: | 8/12/2020 (No changes) |
| Revised: | |
| Legislation: | 9 CFR AWR § 2.31, Chapter 1, Subchapter A |

Purpose of Policy: To describe the process by which Western University of Health Sciences' (WesternU) Institutional Animal Care & Use Committee (IACUC) may suspend an IACUC-approved activity involving the use of animals in teaching or research at WesternU owned or operated facilities and the process by which the IACUC may reinstate a suspended activity.

Policy Information: Animal welfare [regulations](#) state that "The IACUC may suspend an activity that it previously approved if it determines that the activity is not being conducted in accordance with the description of that activity provided by the principal investigator and approved by the Committee. The IACUC may suspend an activity only after review of the matter at a convened meeting of a quorum of the IACUC and with the suspension vote of a majority of the quorum present".

Policy: Prior to suspending an IACUC-approved activity, the IACUC Chair shall convene a meeting of the IACUC to thoroughly review the matter in question. A quorum of the voting members, as defined in IACUC [Policy 2016-054](#) (a simple majority (50% + 1)), must be present for the deliberations. Prior to a vote to suspend, the principal investigator shall be given an opportunity to respond to the allegation(s) either in person or in writing. A majority of the quorum present must vote for suspension for it to be official.

The Principal Investigator (PI) shall be notified, in writing, of a decision to suspend a previously approved IACUC activity. The notification shall include a statement of the reasons for its decision and steps that must be taken, if any, to reinstate a suspended activity.

The Institutional Official (IO) shall be notified of the suspension. The IO may take additional appropriate corrective actions and may report the suspension, with full explanation, to appropriate funding and regulatory agencies as may be required by such agencies. The IO may not, however, reduce any corrective actions that may be imposed by the IACUC.

To reinstate a previously approved activity that has been suspended by the IACUC, the principal investigator must provide convincing evidence that all conditions imposed by the IACUC have been adequately met. The evidence shall be reviewed at a convened meeting of the IACUC with a quorum of voting members present. A majority of the quorum present must vote for reinstatement for it to be official. The PI and the IO shall be notified, in writing, of the reinstatement.

Note: WesternU investigators conducting activities involving the use of animals in teaching or research that have not been approved by the IACUC are also subject to disciplinary actions by the IACUC.

All proceedings shall be documented in the IACUC minutes.